



# MIXED CONSIGNMENT CONTRACT

Date \_\_\_\_\_, 2011 Name \_\_\_\_\_ Identifying Number \_\_\_\_\_

Color \_\_\_\_\_ Date Foaled \_\_\_\_\_ Gait \_\_\_\_\_ Sex \_\_\_\_\_ Embryo Transfer:  Yes  No

Owned by \_\_\_\_\_ P. O. Address \_\_\_\_\_

Sire \_\_\_\_\_

1st Dam \_\_\_\_\_ by \_\_\_\_\_

BROODMARE: Bred to \_\_\_\_\_ Last Bred \_\_\_\_\_

Has mare had a 2011 foal? If so, please identify Sex \_\_\_\_\_ Sire \_\_\_\_\_

Only in foal broodmares may be entered (except in certain dispersals designated by the Company) and must be sold with SERVICE FEE PAID. A mating certificate must accompany the Registration Certificate.

RACEHORSE: Record, if any \_\_\_\_\_ When and where was record made? \_\_\_\_\_

Was record made in Winning Race, or against time? \_\_\_\_\_

## ATTACH OWNER'S STATEMENT AND FUTURITY AND STAKES ENGAGEMENTS

(Consignor is warned to use care in reporting engagements. See Important Notice below. Attach list if necessary.)

I enter the foregoing described horse in the Public Sale in Harrisburg, Pennsylvania to be held by Standardbred Horse Sales Company during the week of November 7, 2011, subject to the published terms and conditions of the sale appearing in the 2011 Black Book and terms of this contract which I have carefully read and examined, and all of which I hereby fully accept and agree to be the contract between us. The undersigned is the (check one): \_\_\_\_\_ Sole Owner of the foregoing described horse; \_\_\_\_\_ Co-owner authorized to act on behalf of all owners; or \_\_\_\_\_ Agent authorized to act on behalf of all owners.

### ATTACH REGISTRATION CERTIFICATE HERETO.

(Registration Certificate Must Be Signed By All Owners. See Also Paragraph 11.)

**A current Health Certificate and a Negative Coggins (E.I.A.) Test taken within 90 days of the sale (dated after August 16, 2011), must be submitted, and on file, with Standardbred Horse Sales Company 7 days prior to sale date. THE COGGINS TEST SUBMITTED FOR EACH HORSE MUST INCLUDE THE IDENTIFYING NUMBER, ANY FREEZE BRANDS AND ALL IDENTIFIABLE MARKINGS OF THE HORSE, AND MUST BE AN ORIGINAL OR CARBON COPY OF AN ORIGINAL, LEGIBLE, AND UNALTERED. NO OTHER COPIES ARE ACCEPTABLE.**

In entering horses in any of the public sales of STANDARDBRED HORSE SALES COMPANY (the "Company"), the Consignor hereby agrees to the following terms and conditions, which are an integral part of the Consignor's contract with the Company:

1. It is the responsibility of Consignor to deliver the entered horse to the place of sale prior to the beginning of the day on which the horse is to be offered for sale. The Company disclaims all responsibility and is hereby released from and indemnified for illness of or injury to any horse or to any person or persons or property from any cause prior, at, or subsequent to the sale. Such liability shall be and remain the sole financial responsibility of the Consignor and as such, Consignor should obtain adequate liability coverage. It is understood and agreed that the horse will be presented for sale upon the terms and conditions stated in the Sale Catalog.

2. **THE COMPANY'S COMMISSION SHALL BE \$750 OR 6 PERCENT OF THE LAST BID ACCEPTED BY THE AUCTIONEER OR ANNOUNCED RESERVE PRICE FOR EACH HORSE, WHICHEVER IS GREATER, SUBJECT TO THE FOLLOWING: IF ANY HORSE COVERED BY THIS CONTRACT IS ACCEPTED FOR ENTRY BUT NOT PRESENTED FOR SALE FOR ANY REASON OTHER THAN THOSE EXPRESSLY PERMITTED BY PARAGRAPH 3 BELOW, CONSIGNOR SHALL PAY 20% OF THE FAIR MARKET VALUE OF THE HORSE OR \$1,000 WHICHEVER IS GREATER, SUCH VALUE TO BE DETERMINED BY THE COMPANY IN ITS SOLE DISCRETION.**

3. The only exceptions to payment of the amounts set forth in paragraph 2 above shall be in the event a horse is not presented for sale due to its death, because it has been claimed, or because it does not reach the \$1,000 minimum bid ("upset price") in the sales ring. In the event of a horse's death, evidenced by an acceptable veterinarian's certificate, Consignor shall pay the sum of \$100 to cover stall rental. In the event a horse has been claimed, Consignor shall pay the Company \$1,000 or 6% of the claiming price, whichever is greater. In the event the horse does not reach the \$1,000 minimum bid, Consignor shall pay the sum of \$100 to cover stall rent.

4. Upon execution of this contract, Consignor shall pay to the Company a **NONREFUNDABLE** entry fee of \$400 for each horse entered. This entry fee should accompany an executed copy of this contract and the signed registration certificate.

5. Consignor shall retain dominion and control of said horse until its sale. The Company shall have no liability with respect to any movement of horses, whether by Company personnel or otherwise, necessitated by operation of the sale.

6. Standardbred Horse Sales Company shall bear all risk relating to a credit buyer's financial ability to pay (provided the Company has expressly extended credit either before or after sale and further subject to paragraphs 10 and 23 below). All other risks in connection with the sale of any horse shall be borne by Consignor, including but not limited to: (i) **THE ACCURACY AND COMPLETENESS OF ALL PRINTED MATERIAL CONTAINED IN THE CATALOG**; Consignor bears responsibility for confirming the accuracy and completeness and updating in writing such material prior to sale; the Company reserves the right to make any announcements it deems appropriate at the time of sale; Consignor must notify the Company in writing if the horse has been gelded, spayed, or nerved, suffers from any material physical problem or was bred using embryo transfer; notations on registration or other documents do not constitute adequate notice to the Company; (ii) **FREE AND CLEAR TITLE**; Consignor hereby represents that there are no claims, liens, or ownership disputes relating to any entered horse; in the event the Company is notified about any such dispute before or after sale, the Company may take any action it deems appropriate; (iii) **PHYSICAL CONDITION OF THE HORSE**; all horses are sold "as is"; nonetheless, the Company does not bear any risk relating to the physical condition of the horse (including a buyer's refusal to pay on the basis of such physical condition); (iv) **FALSE BUYERS**; in the event a successful bidder who has not been extended credit by the Company refuses to pay or cannot be located by the Company after reasonable efforts by the Company, Company shall not be obligated to pay the sale price to Consignor. Company may, at its discretion, invoke the remedies set forth in paragraph 10.

7. In the event of a dispute arising from a risk borne by Consignor, Consignor shall bear (and indemnify the Company from) all damages, expenses and fees, including legal costs of the Company, all of which may be deducted from Consignor's total sale settlement, if any. Consignor shall also pay the sale commission and costs. Furthermore, the Company may use its discretion in attempting to resolve any such disputes. Any funds received by the Company with respect to the sale of Consignor's horses shall be deemed general funds of the Company and not trust funds or special account funds on Consignor's behalf.

8. Consignor will be present in person, or have a duly authorized representative present, to make true representations respecting each horse offered for sale by such Consignor. All information posted or disseminated regarding any horse remains Consignor's sole responsibility. Company reserves the right and privilege to refuse to offer a horse unless such horse is represented by the owner or owner's authorized agent. **CONSIGNOR IS RESPONSIBLE FOR THE IDENTITY AND DESCRIPTION OF ALL HORSES CONSIGNED AND OFFERED FOR SALE BY HIM.**

9. Consignor will furnish a halter free with each horse which Consignor offers for sale. No horse that is unsafe or vicious will be sent by Consignor to said sale.

10. In case any bidder fails to pay for and receive a horse which has been struck down to such bidder, Company shall have the right and privilege to accept the same amount from another bidder or to accept the next highest bid; or, if in the judgment of the auctioneer or the Company it would be in the best interests of all parties, to put up the horse for sale again. In any of these cases the result shall be the effective sale under this contract.

11. All horses must be Registered. It shall be the duty of the Consignor to furnish a signed Registration Certificate (the Company cannot accept "paperless" transfers) and a

current negative Coggins test for each horse taken within ninety (90) days prior to the date of sale (must be drawn after August 16, 2011) and to file the same with the Company at least seven (7) days prior to the date of sale. The Coggins test submitted for each horse must include the Identifying Number, any freeze brands and all identifiable markings of the horse. Consignor agrees that if the Coggins test or any other document furnished for any horse entered in the sale is invalid, improperly filled out by the seller's veterinarian, or is not in a format acceptable to all states, racetracks, provinces or national racing commissions or regulatory agencies, or precludes said horse from crossing the Canadian border, the Company may have the horse retested. All expenses incurred thereby and associated with obtaining a valid Coggins test and proper federal health certificate, including but not limited to board, testing and transportation, shall be borne by the Consignor. The Consignor hereby authorizes the Company to deduct the monies from the sales proceeds. **THE COGGINS TEST MUST BE AN ORIGINAL OR CARBON COPY OF AN ORIGINAL, LEGIBLE, AND UNALTERED. NO COPIES MADE ON A COPYING MACHINE ARE ACCEPTABLE.** In the event that such Registration Certificate(s) and current negative Coggins test(s) are not submitted within the time designated, a penalty charge of \$25 per document is hereby imposed against the proceeds derived from the sale of the horse of such Consignor. If the Company must provide a Coggins test for any reason, an additional charge of \$25 for this test will be made against the proceeds of sale derived from the sale of the horse of such Consignor. **DOCUMENTS NOT AVAILABLE ON SALE DAY WILL BE ASSESSED AN ADDITIONAL CHARGE OF \$25 PER DAY PER DOCUMENT FROM DATE OF SALE UNTIL SUCH TIME THAT THE DOCUMENTS ARE IN THE HANDS OF THE COMPANY.**

12. **BROODMARES** -- In the case of in-foal broodmares offered for sale, a mating certificate must accompany the Registration Certificate and current negative Coggins test. Such mating certificate must be on file with Company at least seven (7) days prior to the date of sale. If such requirement is not met, a penalty charge of \$25 will be assessed against the proceeds derived from the sale of such horse. **DOCUMENTS NOT AVAILABLE ON SALE DAY WILL BE ASSESSED AN ADDITIONAL CHARGE OF \$25 PER DAY PER DOCUMENT FROM DATE OF SALE UNTIL SUCH TIME THAT THE DOCUMENTS ARE IN THE HANDS OF THE COMPANY.** Broodmares will be sold **ONLY** with service fees paid. Consignor must state whether service fee **IS** or **IS NOT** refundable in the event a broodmare fails to produce a live foal.

13. **NO BARREN MARES WILL BE ACCEPTED OR SOLD OTHER THAN DISPERSALS DESIGNATED BY THE COMPANY OR WHEN A MARE IS SOLD WITH A FOAL AT HER SIDE.** Confirmation of in foal status shall be Consignor's responsibility. Company disclaims any responsibility relating to in-foal status.

14. **YEARLINGS, WEANLINGS AND/OR SUCKLINGS** - Will not be sold unless a signed Registration Certificate and a DNA parentage verification report are on file with the Company at least seven (7) days prior to the date of sale. If such requirement is not met, a penalty charge of \$25 per document will be assessed against the proceeds derived from the sale of such horse. **DOCUMENTS NOT AVAILABLE ON SALE DAY WILL BE ASSESSED AN ADDITIONAL CHARGE OF \$25 PER DAY PER DOCUMENT FROM DATE OF SALE UNTIL SUCH TIME THAT THE DOCUMENTS ARE IN THE HANDS OF THE COMPANY.**

15. Settlement(s) by the Company with a Consignor for horses sold shall not be due before the thirtieth business day following the last day of the sale.

16. Subject to the terms hereof, Consignor agrees to be bound by **TERMS AND CONDITIONS OF SALE** as printed in the official sales catalog, **THE BLACK BOOK**.

17. Consignor agrees that service of any notice, process or pleading in any action or proceeding arising out of or in connection with the sale of any horse hereunder is properly made if a copy of said notice, process or pleading is mailed to Consignor at the address set forth below, certified mail, postage prepaid, return receipt requested, or by overnight courier and Consignor hereby consents that any action or proceeding against it be commenced and maintained in any court within the Commonwealth of Pennsylvania or in the United States District Court for the Middle District of Pennsylvania by service of process as set forth above; and Consignor agrees that the courts of the Commonwealth of Pennsylvania and the United States District Court for the Middle District of Pennsylvania shall have jurisdiction and venue is proper with respect to the subject matter hereof and the person of Consignor. Notwithstanding the foregoing, Company, in its absolute discretion, may also initiate proceedings in the courts of any other jurisdiction in which Consignor may be found or in which any of its properties may be located.

18. In the event a stallion share is the subject of this consignment, Consignor warrants and represents that such share does not constitute a security under applicable federal or state securities laws and Consignor shall indemnify Company against any claims in connection therewith.

19. The Company reserves the right to reject any entry.

20. The Company has full authority to decide the hip numbers and stall locations for all horses which are accepted for sale.

21. A \$40 charge for the production and distribution of racelines will be assessed for each horse. No charges will be made to the Consignor by the Company for advertising or other expenses strictly incident to the conduct of the sale, unless by special agreement.

22. The commission will be due on all horses, including bid-ins.

23. In the event that a separate credit arrangement is made between a purchaser and Consignor, the Consignor shall immediately present written confirmation of the arrangement to the Company. Any separate credit arrangement between a purchaser and Consignor shall relieve the Company from any responsibility for collection from the purchaser. Consignor shall be responsible for determining all other terms of the credit, including any provision for insurance. The Company may rely upon the Consignor's agents and employees in determining that such a credit arrangement has been created. A separate credit arrangement shall not relieve the Consignor from its obligation to pay.

24. Consignor represents and warrants to the Company that Consignor has full authority to consign the horse listed above and that unless Consignor has furnished full particulars to the contrary with this contract, no embryo, egg, semen or other genetic material (excepting only genetic material required and used for DNA testing or other non-reproductive purposes) has been obtained from that horse.

25. In the event that Consignor(s) is more than one person or entity, each such person or entity acknowledges and agrees that the signature of any one of them shall be sufficient to bind each and all of them with respect to subsequent consents, waivers, agreements, etc.

26. I understand, acknowledge and agree that the Company is not responsible for providing veterinary services during the sale sessions; that if any veterinary services are made available by the Company, such services are being made available for convenience purposes only and that any use that I may make of such services will be at my sole cost, expense and risk; and that no such veterinarian has any authority to make any representations or warranties on behalf of the Company. Any examination by any veterinarian at the Company's request shall be for the Company's purposes only and neither Consignor nor any third party shall rely on the results thereof.

27. The Company makes no representation or warranty regarding the condition of any horse. Any examination made or information posted or announcement regarding a horse's condition, including in-foal status, is Consignor's sole responsibility.

28. Consignor and Company agree that this contract was formed and performed in the Commonwealth of Pennsylvania. Consignor shall immediately notify the Company of any change in Consignor's address. Consignor assumes the risk of equine activities pursuant to Pennsylvania law.

#### IMPORTANT NOTICE

**ENGAGEMENTS** -- Where Consignors report the stakes and/or futurity engagements of their horses, a listing of these engagements will be available at the sales office of the Company. The Company is not responsible for any errors, misstatements or omissions in the engagements. The Consignor is the responsible party for all such statements. **UNLESS ALL STAKES PAYMENTS DUE PRIOR TO SALE HAVE BEEN MADE, HORSES ARE NOT ELIGIBLE; AND CONSIGNOR MAY BE HELD RESPONSIBLE BY BUYER. IT IS, THEREFORE, IMPORTANT THAT CARE BE TAKEN IN GIVING ENGAGEMENTS.**

NAME OF CONSIGNOR \_\_\_\_\_

Authorized Signature and Title \_\_\_\_\_

ADDRESS \_\_\_\_\_

\_\_\_\_\_

**STANWARDBRED HORSE SALES COMPANY**  
Post Office Box 339  
Hanover, PA 17331  
Telephone (717) 637-8931 Fax (717) 637-6766  
Email: harrisburgsale@theblackbook.com  
Website: www.theblackbook.com

Email: \_\_\_\_\_ Phone: \_\_\_\_\_ Cell: \_\_\_\_\_

**THE ABOVE LISTED CONSIGNOR WILL BE REMITTED THE NET PROCEEDS FROM THE SALE OF THE FOREGOING DESCRIBED ANIMAL.**